

Effect of 5% fluoride varnish application on caries among school children in rural Brazil: a randomized controlled trial

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Abstract – Objectives: To determine the efficacy of 5% sodium fluoride (NaF) varnish application in reducing caries increments in the permanent dentition of rural Brazilian school children over the course of 12 months. **Methods:** A double-blind, randomized, placebo-controlled trial was conducted with 379 children aged 7–14 years who attended three schools in Brazil between January 2006 and December 2007. During this period, each school was visited four times at 6-month interval for recruitment, dental examinations, and fluoride varnish applications. Recruited children were randomly assigned to either a treatment (5% NaF varnish, $n = 198$) or a control group (placebo, $n = 181$). Trained interviewers collected data on oral health habits and sociodemographic characteristics from the children. Information on the child's diet was collected through a 7-day food frequency diary. Caries examinations were conducted using the International Caries Detection and Assessment System (ICDAS). The efficacy of fluoride varnish application on caries prevention was reported as a preventive fraction (PF). Crude caries increments of decayed and filled surfaces (DFS) were compared between fluoride varnish and placebo groups. A generalized linear model (GLM) was constructed to test the differences in DFS increments between the groups after accounting for confounding factors. **Results:** Of the total sample ($N = 379$), 210 (55.4%) children had completed 12 months of follow-up including one or two applications of fluoride varnish or placebo. At the baseline examination, the children in the treatment and control groups presented on average 6.2 and 5.6 DFS, respectively ($P < 0.001$). After 12 months of follow-up, the children in the varnish group showed significantly lower DFS increments than did children in the control group (10.8 versus 13.3; $P < 0.007$), with PF of 40% (95% CI: 34.3–45.7%; $P < 0.0001$). **Conclusions:** The results of this study suggest that applications of 5% NaF varnish can be recommended as a public health measure for reducing caries incidence in this high-caries-risk population.

Key words: Brazil; dental caries; fluoride varnishes; permanent dentition; randomized controlled trial

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Dental caries is one of the most prevalent pandemic chronic infectious childhood diseases, which is amenable to prevention and management at both individual and population levels (1). According to the World Health Organization report in 2003, nearly 60–90% of school children and the majority of adults in industrialized nations had dental caries

(2). Although this high prevalence has declined dramatically over the past decade owing to the remarkable advances in evidence-based caries research and effective preventive practices, caries remains higher in some developing nations such as Brazil, China, Thailand, and Republic of Niger with the greatest impact falling on the poorest, under-

privileged, certain ethnic minorities, and immigrant populations (3–5).

In Brazil, the average decayed, missing, and filled teeth (DMFT) index for children aged 12 years was 2.8 (higher than global average DMFT scores of 1.61) (6). Although the national prevalence rates have declined over the past three decades, these reductions are unevenly distributed among various geographical and socioeconomic groups (7–15). For example, the average DMFT scores for children aged 12 years residing in the southern and southeastern regions of Brazil ranged from 0.8 to 1.7 (7–9). In contrast, the children of the north, north Amazon and north-eastern Brazil had DMFT scores ranged between 3.2 and 3.7 (13–15). These data suggest a great heterogeneity of the dental caries distribution. Studies that evaluated factors attributable for the decline and/or the polarization of the caries distribution indicated that low socioeconomic status (7–17), lack of access to dental care (16, 17), inequalities in access to fluoridated public water supplies (7–16, 18, 19), living in rural communities (13, 15, 20), low maternal education (20, 21), and having poor dietary habits (20, 22) as the major determinants of dental decay. However, finding new and effective strategies to reduce disparities in caries experience remains a major challenge.

The Cochrane database has shown that topical fluoride applications are an effective way for preventing caries among children (23). Cochrane reviews comparing the relative effectiveness of different forms of topical fluoride interventions reported a substantial reduction in caries increments using fluoride toothpaste (24%), mouth rinses (26%), and gels (28%) for both primary and permanent dentitions (24). The evidence is even stronger for professionally applied fluoride varnish. Twice-yearly applications of fluoride varnish have been shown to reduce decayed, missing, filled tooth surface (DMFS) increments by 46% for children and adolescents after a 1-year period (25). The benefits were even greater for high-caries-risk children (26). Moreover, the dose–response relationships between the frequency of fluoride varnish applications and its efficacy on caries prevention were also evident in the literature (27–29). While four or more fluoride varnish applications per year are required to achieve maximum benefits, multiple applications of NaF varnish may not be feasible for low-income, rural children (30). Hence, regimens that apply high-

concentration NaF varnish (say 5%) once a year or semiannually may present a public health advantage over three or more applications per year. This trial tests the efficacy of 5% NaF varnish application in preventing caries experiences in permanent dentition among underserved and/or underprivileged rural school children of southeastern Brazil. Additionally, a *post hoc* secondary analysis was conducted to explore the efficacy of once- versus twice-yearly applications of NaF varnish on caries reduction.

Materials and methods

Target population and study design

This study was conducted between June 2006 and December 2007 in Tapiratiba in southeastern Brazil. Tapiratiba is a small rural town of approximately 14 000 people (2006) in the State of São Paulo. The local economy was traditionally centered on coffee and sugar cane production (31). Average monthly family income was Brazilian Reals R\$1512 (US\$ 879) (32), with 25.4% (range: 19–32%) of residents were at or below poverty level (33). While this town has had a fluoridated public water supply since 1986 (0.7 ppm), owing to the topography of the water distribution areas, inequalities in the access to community water fluoridation exist, with people living on farms and in the hills having no access to fluoridated water.

This study was a prospective, randomized, parallel group, placebo-controlled, double-blind design that evaluated the efficacy of 5% NaF varnish in preventing decayed and filled surfaces (DFS) increments among high-caries-risk children. The design and conduct of this trial was approved by the Institutional Review Board for the Health Sciences at the University of Michigan, Ann Arbor campus, MI. In addition, approvals from the Tapiratiba Mayor's office and the school administrations where this study was conducted were also obtained.

Study sample and enrollment

A priori power analysis performed with a desired alpha value of 0.05, power of 0.80, and anticipated R^2 of 0.05 to detect a difference of 10% DFS increments between the groups determined a minimum sample size of 85 children in each of the two groups. In anticipation of high attrition rates (say 50%), the goal was to enroll approximately 170 children into each group.

In an attempt to increase the level of participation, information about the study was shared with the local media and flyers were sent home with the children. During the parents meeting held 6 months prior to the start of the study, families were informed about the research and consent forms were disseminated. Children (6–14 years old) attending first through eighth grade were asked to participate in this trial. Parents were informed that their child's participation was voluntary and that they could withdraw from the study at anytime without consequences.

Inclusion criteria required that the child be enrolled in the Tapiratiba Children Oral Health Program and returned a signed parental consent form along with the child's written assent to participate. Children with a congenital orofacial anomaly such as cleft palate or cleft lip were excluded.

Figure 1 presents the Consort flow diagram tracking subject participation for the entire study. All children participating in this trial were recruited primarily at the two baseline visits (6 months apart – in June 2006 and December 2006) and were then followed over a period of

1 year from their initial baseline visits. A total of 528 children attending three rural schools were asked to participate in this trial. Of the three schools, one school (comprising 362 children) was located within the city limits and had access to city fluoridated water supply. The remaining two schools (82 and 84 children, respectively) were located outside the city limits and had no access to community water fluoridation. A total of 149 students failed to return signed parental consent forms and were excluded from study participation. The final cohort of 379 students was then randomly allocated to two parallel groups: 5% NaF varnish ($n = 198$) and placebo ($n = 181$). In this study, all children were identified by their school identification (ID) number. The randomization was achieved on the basis of odd and even ID numbers by coin flipping. For example, children with odd ID numbers were assigned to one group, and the IDs with even numbers were allocated to the other group. All parents, children, and examiners were blinded to the group allocation and intervention status (double-blind study design).

At the end of the 12-month follow-up period, about 169 (44.5%) children (85 in the treatment and

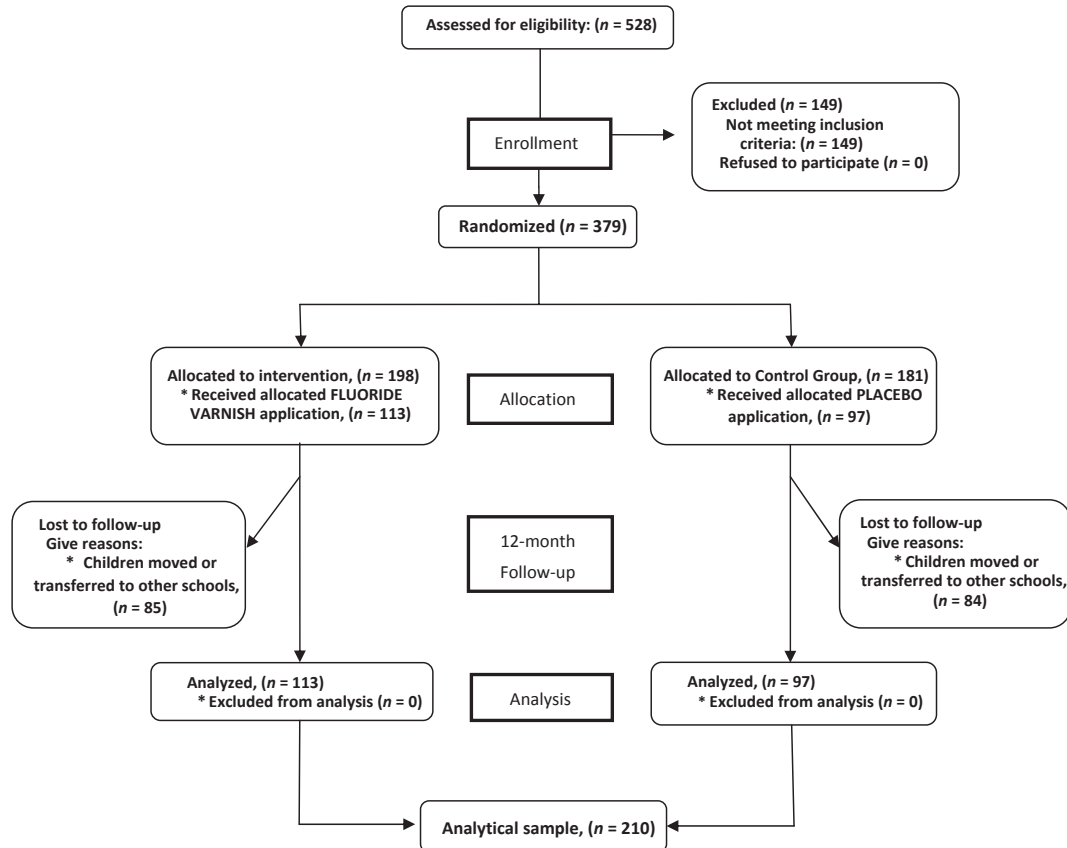


Fig. 1. Consort flowchart of the children screened for the study.

84 in the control group) were lost to follow-up, resulting in an analytical sample size of 210 children (Fig. 1).

Data collection

An interviewer-administered questionnaire was used to collect information from children based on two domains: sociodemographic characteristics (age and gender) and oral health behavior (tooth brushing habits). Trained social workers conducted these interviews in Portuguese. In addition, a 7-day food frequency diary was distributed to the students 8 days prior to the interview. Students were requested to record all foods and drinks consumed in the diary on a regular basis for 1 week with the help of their caregiver prior to their interview. The questionnaire was initially translated into Portuguese by native speakers, then back-translated to English for the translation accuracy, and revised when necessary. Follow-up interviews were conducted at the 6- and 12-month visits to assess children's dietary patterns.

During school hours, four trained and calibrated dentists, using the International Caries Detection and Assessment System (ICDAS) criteria (34), performed caries assessment examinations using WHO probes and plane surface mirrors with students seated in portable dental units under standard operating light illumination. Data were collected solely on visual-tactile examination and no radiographs were taken. The dental examinations for caries status were limited to permanent dentition. Carious tooth surfaces were identified as ICDAS code '1' (indicative of first visual change in enamel) and higher (included both noncavitated and cavitated lesions). Crude caries experiences for the permanent teeth were recorded using the (DFS) scores. Missing tooth surfaces owing to caries were not included during the analysis (because of the lack of accuracy of assessing reasons for tooth loss in these mixed dentition ages). Prior to the start of the dental examination, all children brushed their teeth under trained social workers' supervision.

Examining dentists were trained and calibrated for the ICDAS criteria before the start of the baseline examination and recalibrated at each follow-up visit. To assess inter- and intra-examiner reliability, each examiner performed duplicate examinations on 10% of the study sample. Reexaminations were arranged in such a way that the examiners were blinded to which children would be examined. Inter-examiner reliability ranged between 0.73 and 0.81 (Cohen's kappa coefficient).

Intra-examiner reliability for the four examiners ranged between 0.59 and 0.93.

Fluoride varnish application protocol

A 5% NaF varnish (Cavity Shield® from OMNII Oral Pharmaceuticals, West Palm Beach, FL, USA) was used in this study. Both fluoride varnish and placebo were provided by the manufacturer in single-dose vials pre-labeled with a number assigned to each child. The two formulations (NaF varnish and placebo) were liquids that matched in taste, color, smell, and feel and were packaged by the manufacturer in vials which were identical in shape, color, and weight. The modes of administration were identical for all children. Throughout this trial, all children, parents, examining dentists, and research staff (e.g., recorders) remained blinded to group allocation and intervention status. The randomization records were opened only upon study completion. Prior to the examination, all children received oral health education about oral hygiene, followed by tooth brushing, and caries examinations. After the teeth were dried, the NaF varnish was applied to all tooth surfaces using a disposable brush and then air-dried. Both parents and children were provided with postapplication instructions to avoid solid foods for the first 4 hours after the application and to refrain from tooth brushing until the next morning. All interventions were performed twice (at the baseline visit and at the 6-month follow-up visit). The outcome measure (DFS scoring) was assessed at 0, 6, and 12 months.

Following the trial completion (at the 4th visit – in December 2007), all children at the participating schools, regardless of their group allocation, received NaF varnish.

Statistical analyses

SAS Version 9.3 (SAS Institute Inc., Cary, NC, USA) was used to perform statistical analysis. Descriptive analysis was conducted to summarize baseline sociodemographic characteristics and oral health status for each group. Categorical variables for the two groups were compared using Pearson's chi-square or Fischer's exact tests. The continuous variables were compared using Student's *t*-test. A *P*-value of <0.05 was considered statistically significant. The caries prevented fractions (PF) were derived by calculating the difference between the incidence of DFS in the placebo group (μ_c) and the incidence in the treatment group (μ_t), divided by the incidence of DFS in the placebo group [PF = 100 ($\mu_c - \mu_t$)/(μ_c)] (35). The PF indicates the

percentage reduction in caries incidence owing to varnish treatment relative to the incidence in the placebo group. The standard error (SE) of PF was also calculated according to the method presented by Zhang et al. (35):

$$SE = \sqrt{[(cv_t)^2 \times (\mu_t + (cv_c)^2 \times (\mu_c)) \times (\mu_t/\mu_c)],}$$

where cv_t and cv_c are the coefficients of variation [which is defined as the standard deviation (σ) divided by the mean, μ] for the treatment and control groups, respectively. In the standard error (SE) equation, the cv_t and cv_c were calculated using the formula: $cv_t = (\sigma_t/\mu_t)$ and $cv_c = (\sigma_c/\mu_c)$ (35).

For data analysis purposes, new variables were created. A sugar consumption variable was created by summing the intake frequencies for cake and sugar confections from the 7-day food frequency diary, which was then dichotomized into: 'low sugar intake' (seven times or less sugar consumption per week) and 'high sugar intake' (more than seven times per week). Tooth brushing frequencies were categorized into: (i) never or seldom brushed teeth, (ii) brushes once a day, and (iii) brushes two times a day or more. Age was dichotomized as '<10 years old' and 'equal or older than 10 years' based on frequency distribution. In addition, a variable 'water fluoridation (yes versus no)' was created based on whether a student attended the school in the town (fluoridated) versus the two schools outside the town limits (nonfluoridated).

A generalized linear model (SAS PROC GLM) was fitted to estimate associations between the

relevant variables and covariates in a multivariate environment, namely to evaluate the difference in the progression of average DFS increments over a 12-month period between the fluoride varnish and control group, after accounting for confounding by other covariates such as age, gender, brushing habit, consumption of cariogenic foods (cakes and confectioner's sugar intake), and access/no access to community water fluoridation.

Furthermore, a *post hoc* secondary analysis was performed to determine the effectiveness of the frequency of NaF varnish application (once-yearly versus semiannually) against placebo controls.

Results

A total of 210 study participants had a complete 12 months of follow-up data, representing an overall follow-up rate of 55.4% (210/379) (Fig. 1). All subjects were aged 7–14 years (mean = 9.6 - years), 113 (54%) were girls and 97 (46%) were boys. The sociodemographic characteristics of these children in both groups were comparable at baseline (Table 1). The majority of children (59%) attended a school with water fluoridation, with varnish group children (58.4%) and control group children (59.7%) being a likely to have access to fluoridated water at their school ($P = 0.83$). Nearly two-thirds of the respondents (76%) reported brushing their teeth twice or more per day, with the proportion being higher (78.7%) for the varnish group than the control group (72.1%) ($P = 0.05$). Of the 210 children who completed 12-month follow-

Table 1. Baseline characteristics of the study participants with a complete 12-month follow-up examination

Variables	N = 210	Varnish (n = 113)	Control (n = 97)	P-value
Age, years				
Mean \pm SD	210	9.62 + 1.36	9.63 + 1.36	0.54
Range		7–13 years	7–14 years	
Gender, n (%)				
Males	97 (46)	59 (52.2)	38 (39.1)	0.05
Females	113 (54)	54 (47.8)	59 (60.9)	
School/water fluoridation, n (%)				
School 1 (fluoridated)	124 (59)	66 (58.4)	58 (59.7)	0.84
Schools 2 & 3 (nonfluoridated)	86 (41)	47 (41.6)	39 (40.3)	
Brushing habit, n (%)				
Two or more times a day	159 (76)	89 (78.7)	70 (72.1)	0.05
Once-daily	25 (12)	15 (13.3)	10 (10.3)	
None/couple of times a week	26 (12)	9 (8)	17 (17.6)	
Sugar consumption, n (%)				
High	129 (61)	60 (53.1)	69 (71.1)	0.007
Low	81 (39)	53 (46.9)	28 (28.3)	

Table 3. Unadjusted and adjusted (generalized linear model ANOVA) models for age, gender and oral health-related characteristics and decayed and filled surfaces increments at the 12-months follow-up examination ($n = 210$)

Variables	N = 210	Crude (Unadjusted) association			Adjusted model		
		β	SE	P-value	β	SE	P-value
Age, years							
<10 years	116	0.48	0.93	0.61	0.65	0.92	0.48
≥10 years (ref)	94						
Gender							
Males	97	-0.63	0.92	0.49	-0.34	0.91	0.71
Females (ref)	113						
School/water fluoridation							
School 1 (Fluoridated)	124	-0.64	0.93	0.49	-0.76	0.93	0.42
Schools 2 & 3 (Nonfluoridated) (ref)	86						
Brushing habit							
Two or more times a day	159	-1.85	1.42	0.19	-0.67	1.38	0.63
Once-daily	25	-1.93	1.87	0.3	-1.13	1.81	0.53
None/couple of times a week (ref)	26						
Sugar consumption							
High (7+ times/week)	129	3.46	0.92	0.0002	3.06	0.94	0.001
Low (7 or less/week) (ref)	81						
Varnish treatment							
Varnish	113	-3.08	0.9	0.0007	-2.44	0.92	0.008
Control (ref)	97						

Discussion

Our study findings support the use of 5% NaF varnish (Cavity Shield[®]; OMNII Oral Pharmaceuticals) as a safe and effective topical agent in preventing early childhood caries and progression of DFS increments in permanent dentition among high-caries-risk children. The results demonstrated a tendency in the fluoride varnish group toward reduction in new DFS lesions and with a minimal increase in secondary DFS lesions. The crude caries PF of 41% among the varnish group was in agreement with the Zimmer et al. (36) study who reported a 37% reduction in DFS increments in permanent dentition with a biennial application of Duraphat[®] (Colgate Oral Pharmaceuticals, New-York, NY, USA) fluoride varnish after 4 years of follow-up. Similarly, randomized controlled studies conducted by Weintraub et al. (29), Holve et al. (37), and Lawrence et al. (38) assessing the efficacy of semiannual application of 5% NaF varnish in primary dentition showed overall DMFS reductions of 50%, 35%, and 18%, respectively, over a period of 2 years depending on the target population characteristics.

Results from our *post hoc* secondary analysis showed that two fluoride varnish applications per year were more efficacious than one application. Although the magnitude of caries experience with once-yearly application of varnish reduced DFS by

1.37 increments when compared to placebo controls (PF = 32%), the results were not statistically significant ($P = 0.26$). While it might be beneficial to provide three or more applications in a year to high-caries-risk children in community-based programs, this might be more difficult to provide to communities with less dental access. The findings of this study support one or two applications of high concentrations (5%) of fluoride varnish as an effective method to prevent caries incidence and progression in this high-caries-risk underserved population.

Although Tapiratiba's community water fluoridation level was 0.7 ppm, the inequalities in the access to fluoridated water supply among socio-economically deprived communities within the town were apparent. In addition, the DFS experience was unevenly distributed across populations with unequal (limited or no) access to fluoridated drinking water. On average, children with partial exposure to fluoridated water supply showed 0.64 fewer DFS than children with no access to water fluoridation although the difference was not statistically significant ($P = 0.49$). This finding was supported by the literature from Brazil (18) and United States (39) with regard to water fluoridation and oral health equity. Moreover, this study estimates the DFS experience and PF (Table 3) as the true effects of fluoride varnish after accounting for confounding owing to water fluoridation.

Our prospective study also demonstrates a positive correlation between sugar consumption and DFS increments. Both the frequency of sugar consumption and DFS increment were highest among these less-affluent children. The ANOVA model suggests that children with high sugar consumption invariably demonstrated significantly higher DFS increments irrespective of their intervention status compared to children with low sugar consumption ($P = 0.001$). A similar relationship was observed by Mobley et al. (40) who found an overwhelming association between sugar consumption and dental caries. Nearly a quarter (24%) of our study participants brushed their teeth once a day or less. Given the participants' high DFS risk status, we recommend supervised tooth brushing with fluoridated toothpaste on each school day (41). Owing to the barriers to improving access to dental care, the high levels of untreated caries in this study population emphasize the need to promote self-care oral health education, specifically focusing on the negative effects of high sugar consumption and the impact of good oral hygiene habits on caries development (42).

Limitation of this study includes high attrition rates (44.6%). Of the initial study sample ($N = 396$) at the baseline examination, 169 children were randomly lost to follow over the course of 12 months. Because the dropout rates were similar across the study groups (Varnish = 85, placebo = 84), further analysis to assess whether dropout rates differed significantly between participants was not performed. However as an attempt to address this heterogeneity, covariate adjustment was established by adjusting for baseline characteristics in the ANOVA model. Despite efforts to promote attendance to school for follow-up appointments, this trial suffered a high attrition rate. For example, transportation to the school was offered in an attempt to obtain high school attendance on examination days predicted to have bad weather. In spite of all efforts, the response rate was only 55.4%, which might be attributed to the transitory characteristics of the target population which were primarily migrant farm workers. During the study period, many children moved with their families and transferred to other schools. Although preventive services were offered to the study population at large, a vast majority of participants did not take advantage of the program, most likely because the majority of parents of these children faced numerous challenges that might

interfere with the completion of a long-term preventive regimen.

In summary, it can be concluded that one or two applications per year of 5% NaF varnish can be used as an effective caries preventive measure for high-caries-risk children. In addition, the high levels of untreated caries among these study participants emphasize the need for oral health education, especially concerning the negative effects of high sugar consumption on caries development. Furthermore, the findings of this randomized controlled trial should be used to raise awareness for the importance of planning and successful implementation and improvement of effective school-based oral health promotion programs.

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